

05/31/00

JC813 U.S. PTO

Please type a plus sign (+) inside this box

06-01-00

PTO/SB/05 (12/97)

Approved for use through 09/30/00. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

4273

Total Pages

3

First Named Inventor or Application Identifier

Masaki MOCHIZUKI

Express Mail Label No.

EL641005397US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages 68]  
(preferred arrangement set forth below)
- Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC 113) [Total Sheets 12]
4. Oath or Declaration [Total Pages 3]
- a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)  
(Note Box 5 below)
  - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☒ Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a  
copy of the oath or declaration is supplied under Box 4b,  
is considered as being part of the disclosure of the  
accompanying application and is hereby incorporated by  
reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☒ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
14. ☐ Small Entity ☐ Statement filed in prior application.  
Statement(s) ☐ Status still proper and desired
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☒ Other: Notice Change Firm Name  
and Address

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐

Continuation

☒

Divisional

☐

Continuation-in-part (CIP)

of prior application No: 09 / 004,196

**18. CORRESPONDENCE ADDRESS**☐

Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or

☐

Correspondence address below

NAME	Anderson, Kill & Olick, P.C.				
ADDRESS	1251 Avenue of the Americas				
CITY	New York	STATE	New York	ZIP CODE	10020-1182
COUNTRY	U.S.A.	TELEPHONE	212-278-1000	FAX	212-278-1733

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Please type a plus sign (+) inside this box ☐

PTO/SB/29 (12-97)  
 Approved for use through 9/30/00. OMB 0651-0032  
 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
 Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
TOTAL CLAIMS (37 CFR 1.16(c))		35 -20 =	15	x \$ 18.00 =	\$ 270.00
INDEPENDENT CLAIMS (37 CFR 1.16(b))		31 -3 =	28	x \$ 78.00 =	2184.00
MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))				+ \$ _____ =	
				BASIC FEE (37 CFR 1.16(a))	690.00
				Total of above Calculations =	3144.00
				Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).	
				TOTAL =	3144.00

6. Small entity status:

- a. ☐ A small entity statement is enclosed.  
 b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.  
 c. ☐ Is no longer claimed.

7. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 01-1944:

- a. ☒ Fees required under 37 CFR 1.16.  
 b. ☐ Fees required under 37 CFR 1.17.  
 c. ☐ Fees required under 37 CFR 1.18.

8. ☐ A check in the amount of \$ \_\_\_\_\_ is enclosed.

9. ☐ Other: \_\_\_\_\_

**NOTE:** The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address is provided below.

10. NEW CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☐ New correspondence address below

NAME

Anderson, Kill & Olick, P.C.

ADDRESS

1251 Avenue of the Americas

CITY

New York

STATE

New York

ZIP CODE

10020-1182

COUNTRY

U.S.A.

TELEPHONE

212-278-1000

FAX

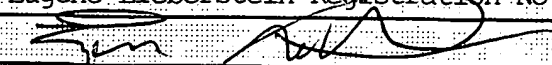
212-278-1733

11. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME

Eugene Lieberstein-Registration No. 24645

SIGNATURE



DATE

May 31, 2000

EXPRESS MAIL CERTIFICATE OF MAILING - SEPARATE PAPER

IN THE MATTER OF: MOCHIZUKI

ATTORNEY'S DOCKET NO.: 4273

FOR: METHODS, APPARATUSES AND SYSTEMS FOR PRODUCING AND WRITING CIPHER KEYS, REPRODUCING INFORMATION WITH A CIPHER KEY, AND FOR PERMITTING REPRODUCTION OF INFORMATION WITH A CIPHER KEY, AND PERMITTING REPRODUCTION OF INFORMATION WITH A CIPHER KEY, AND OPTICAL DISC RECORDED WITH A CIPHER KEY

I hereby certify that the new Divisional application with:

- ☒ transmittal letter
- ☒ specification and claims (copy of parent)
- ☒ declaration (copy of parent)
- ☐ assignment with Recordation Form Cover Sheet
- ☐ 12 sheets drawings
- ☐ priority documents
- ☒ Preliminary amendment
- ☒ Notice Change Firm Name and Address

is being deposited with the United States Postal Services "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to the Commissioner of Patents and Trademarks, Washington, DC 20231 on May 31 2000.

EXPRESS MAIL LABEL NO.

EL641005397US

Anne R. Jacoby  
(Name of Person making deposit)

Anne R. Jacoby  
(Signature)

May 31, 2000  
(Date)

Anderson, Kill & Olick, P.C.  
1251 Avenue of the Americas  
New York, NY 10020-1182  
(212) 278-1000

